



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/632,806	08/04/2000	Lise Thibodeau	045636-5039

0009629  
MORGAN, LEWIS & BOCKIUS  
1800 M STREET NW  
WASHINGTON, DC 200365869



## FORMALITIES LETTER



\*OC000000005425562\*

Date Mailed: 09/25/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

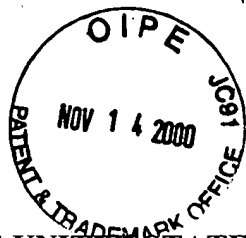
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2000 HNDOR1 00000062 09632806

01 FC:201 355.00 OP  
02 FC:205 65.00 OP



SECTOR  
#3  
PATENT

ATTORNEY DOCKET NO.: 045636-5039

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Lise THIBODEAU et al.	)	
	)	
Application No.: 09/632,806	)	Group Art Unit: 1645
	)	
Filed: August 4, 2000	)	Examiner: Unassigned
	)	
For: USE OF HIV-1 gp120 AND gp160	)	
PROTEINS MODIFIED IN THE V3 LOOP	)	
FOR THE PREPARATION OF VACCINE	)	
COMPOSITIONS AND FORMULATIONS	)	
CONTAINING THE SAME	)	

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

1. This replies to the Notice to File Missing Parts of Application dated September 25, 2000.

A copy of the Notice To File Missing Parts Of Nonprovisional Application-Filing Date Granted is enclosed.

2. Declaration Or Oath

☒ [X] No declaration or oath was filed. Enclosed is a copy of the original Declaration and Power of Attorney.

☐ [ ] The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

☐ [ ] The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

## 3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

## 4. Small Entity Statement

- ☒ Verified Statement that this is a filing by a small entity  
☒ is attached

☐ was filed on \_\_\_\_\_.

## 5. Fee Calculation

			Basic Fee: Patent Application-\$710.00	\$710.00
	Number Filed	Number Extra	at a Rate of	
Total Claims	20 - 20 =	0	\$18.00 each =	\$
Independent Claims	3 - 3 =	0	\$80.00 each =	\$
Multiple dependent claim(s), if any			\$270.00	+
Missing Parts Surcharge Fee			\$130.00	\$130.00
SUB-TOTAL =				\$840.00
Reduction by 1/2 for filing by a small entity				\$420.00
SUB-TOTAL =				\$420.00
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d))			\$130.00	+
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))			\$130.00	+
TOTAL FILING FEE =				\$420.00

## 6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

☐ Applicants petition for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 380.00	\$190.00
<input type="checkbox"/> three months	\$ 870.00	\$435.00
<input type="checkbox"/> four months	\$1,360.00	\$680.00

Extension of time fee due with this request: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a Petition therefor.

☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that the applicants have inadvertently overlooked the need for a petition and fee for extension of time.

## 7. Fee Payment

The total fee due is:	Completion Fees	<u>\$420.00</u>
	Extension Fees	<u>\$ _____</u>
	Total Fee Due	<u>\$420.00</u>

☒ Enclosed is a check in the amount of \$ 420.00.

☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

8. Additional papers enclosed
- ☒ Small Entity Statement
  - ☒ Supplemental Preliminary Amendment
  - ☐ Information Disclosure Statement
  - ☐ Form PTO-1449, \_\_ documents listed thereon
  - ☐ Citations
  - ☐ Declaration of Biological Deposit
  - ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Date: **November 14, 2000**

By: Michael S. Tuscan  
Michael S. Tuscan  
Reg. No. 43,210

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